

# Immunological Tolerance

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# Tolerance to **self**



# Tolerance to **foreign** antigens



inhaled antigens



fetal antigens



commensal flora



organ transplants

# Immunological **Tolerance**

food

undesired





Th1

tumors

Tolerance to (altered) self





vaccines

ndes

nighly **desire**d

Tolerance to **foreign** antigens

# **Overview**

1. "Basic" mechanisms of self-tolerance	Abbas 8 <sup>th</sup> edition
<ul> <li>central tolerance</li> <li>peripheral tolerance</li> </ul>	Chapters 8, 15
2. Mucosal immunology	
<ul> <li>role of innate immunity in tolerance to foreign</li> <li>oral tolerance</li> </ul>	Chapter 14
3. Analyzing tolerance in clinical disease settings	

- autoimmune disease and hypersensitivity reactions
- pathogenetic role of genetic and microbial factors
- where does tolerance fail and how can it be assessed?

Chapters 15, 19

# 1. "Basic" mechanisms of self-tolerance



TCR $\alpha\beta$ : 10<sup>16</sup> specificities

receptors recognizing self-peptide-MHC complexes

### positive selection:

selective survival and expansion of thymocytes with TCR capable of recognizing self-MHC (with low avidity)

# negative selection (central tolerance):

deletion of thymocytes with TCR capable of recognizing self-peptide-MHC complexes with high avidity

### **Thymic selection processes: Central tolerance**



abundance of self-antigens, numbers of TCR engaged, co-R's, overall avidity of bond...

central tolerance in B cells (bone marrow): receptor editing or deletion by apoptosis

### **Central tolerance: Exceptions to the rules**



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high avidity recognition of self-antigen by immature T cells in the thymus induces negative selection but can also promote the development of CD4<sup>+</sup> CD25<sup>+</sup> regulatory T cells ("agonist selection")

Klein et al., Nat Rev Immunol, 2014



### **Central tolerance: Location and timing**



Klein et al., Nat Rev Immunol, 2009

# **Central tolerance: Role for thymic epithelial cells (TEC)**

cTEC: cortical epithelial cell \_\_\_\_\_ mTEC: medullary epithelial cell \_\_\_\_\_

positive selection negative selection



# Central tolerance: Role for AIRE (autoimmune regulator)

#### An autoimmune disease, APECED, caused by mutations in a novel gene featuring two PHD-type zinc-finger domains

The Finnish-German APECED Consortium\*



© 1997 Nature Publishing Group http://www.nature.com/naturegenetics

Autoimmune polyendocrinopathy-candidiasis-ectodermal dystrophy (APECED) is the only described systemic autoimmune disease with established monogenic background, and the first autoimmune disorder localized outside the major histocompatibility complex (MHC) region. The primary biochemical defect in APECED is unknown. We have isolated a novel gene, AIRE, encoding for a putative nuclear protein featuring two PHD-type zinc-finger motifs, suggesting its involvement in transcriptional regulation. Five mutations in AIRE are reported in individuals with this disorder. This is the first report of a single-gene defect causing a systemic human autoimmune disease, providing a tool for exploring the molecular basis of autoimmunity.



- not all tissue-restricted antigens are expressed in the thymus
- some tissue-restricted antigens are only expressed in adult life
- expression levels may be insufficient
- avidity-based selection is not "black and white"



 T cells with self-specific TCR can be isolated from most healthy individuals

### peripheral tolerance mechanism must be operative!

### **Peripheral tolerance: Overview**



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- Anergy (functional unresponsiveness)
- Apoptosis / Deletion
- Suppression by  $\mathsf{T}_{\mathsf{reg}}$
- (Immunological ignorance)
- (Immuneprivilege)

# **Peripheral tolerance: Anergy**



## **Peripheral tolerance: Anergy**



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Decision over immunity vs. tolerance lies with the antigen-presenting cells (importance of the "signal 2" concept!)



D Elseviet, Abbas et al: Cellular and Molecular Immunology 6e - www.studentconsult.com

# **Peripheral tolerance: Anergy**



Kono et al, Nat Rev Immunol 2008

# The danger model:

Polly Matzinger, Science 2002: The danger model: a renewed sense of self

decision over immunity vs. tolerance is determined by recognition of "danger" (DAMPs) rather by self versus non-self (PAMPs) discrimination

endogeneous factors can trigger inflammation

tissues take a critical role in transmitting signals to immune cells Anergy is induced and maintained <u>actively</u> by several mechanisms:



- Activation of protein tyrosin phosphatases (e.g. SHP-1 / SHP-2)
- Activation of cellular ubiquitin ligases (e.g. Cbl-b)
- Induction and engagement of inhibitory receptors (CTLA-4, PD-1)

Two potential mechanisms behind CTLA-4 mediated immune attenuation:



### competition for B7

(CTLA-4 has a higher affinity than CD28)

#### cell intrinsic inhibitory signaling

(phosphorylated ITIM motifs on CTLA-4 recruit phosphatases that dephosphorylate signaling molecules in the vicinity)

# **Peripheral tolerance: Anergy**

#### Significance of CTLA-4:



Loss of CTLA-4: Lymphoproliferation and fatal multiorgan tissue destruction. Critical regulatory role of CTLA-4 !

Tivol EA et al., Immunity. 1995 Nov;3(5): 541-7

polymorphisms in the human CTLA-4 gene are associated with several autoimmune diseases

CTLA-4-based therapeuticals exist!



**CTLA-4 Ig fusion protein** for rheumatoid arthritis and kidney transplant patients



**CTLA-4 neutralising antibody** for melanoma patients "check-point inhibitor"

(autoimmune side effects!)



# **Peripheral tolerance: Deletion**

Mice with mutations in either **Fas** (<sup>lpr/lpr</sup>), **FasL** (<sup>gld/gld</sup>) or **Bim** (<sup>Bcl2l11-/-</sup>): autoimmune lymphoproliferative disorders!





immune complex deposition

Cell, Vol. 81, 935-946, June 16, 1995, Copyright © 1995 by Cell Press

#### Dominant Interfering Fas Gene Mutations Impair Apoptosis in a Human Autoimmune Lymphoproliferative Syndrome

Galen H. Fisher, \*<sup>†</sup> Fredric J. Rosenberg, \*<sup>‡</sup> Stephen E. Straus, <sup>§</sup> Janet K. Dale, <sup>§</sup> Lindsay A. Middelton, I Albert Y. Lin, <sup>#</sup> Warren Strober, <sup>§</sup> Michael J. Lenardo, <sup>†</sup> and Jennifer M. Puck<sup>‡</sup>

(analysis of five children with enlarged spleens and lymph nodes, autoimmune hemolytic anemia, thromocytopenia and glomerulonephritis)



Straus et al. Ann Intern Med 1999

# Peripheral tolerance: Suppression by regulatory T cells (T<sub>regs</sub>)

T<sub>regs</sub> come in different shapes and flavours (nTreg, Tr1, Th3 cells,...)





# Peripheral tolerance: Suppression by regulatory T cells (T<sub>regs</sub>)

Evidence for a role of Foxp3 and  $T_{regs}$  in the maintenance of peripheral tolerance:

© 2001 Nature Publishing Group http://genetics.nature.com

letter

Disruption of a new forkhead/winged-helix protein, scurfin, results in the fatal lymphoproliferative disorder of the scurfy mouse

Mary E. Brunkow<sup>1</sup>, Eric W. Jeffery<sup>1</sup>, Kathryn A. Hjerrifd<sup>1</sup>, Bryan Paeper<sup>1</sup>, Lisa B. Clark<sup>1</sup>, Sue-Ann Yasayko<sup>1</sup> J. Erby Wilkinson<sup>2</sup>, David Galas<sup>3</sup>, Steven F. Ziegler<sup>4</sup> & Fred Ramsdell<sup>1</sup>

The immune dysregulation, polyendocrinopathy, enteropathy, X-linked syndrome (IPEX) is caused by mutations of *FOXP3* 

IPEX is a fatal disorder characterized by immune dysregulation, polyendocrinopathy, enteropathy and X-linked inheritance (MIM 304930). We present genetic evidence that different mutations of the human gene *FOXP3*, the ortholog of the gene mutated in scurfy mice (*Foxp3*), causes IPEX syndrome. Recent linkage analysis studies mapped the gene mutated in IPEX to an interval of 17–20-cM at Xp11.23–Xq13.3 (refs. 1,2).



# Peripheral tolerance: Suppression by regulatory T cells (T<sub>regs</sub>)

Further exemplary evidence for a role of  $T_{reg}$  peripheral tolerance:

#### mouse models of chronic inflammatory diseases, e.g.

#### CUTTING EDGE





#### Cutting Edge: Cure of Colitis by CD4<sup>+</sup>CD25<sup>+</sup> Regulatory T Cells<sup>1</sup>

Christian Mottet,<sup>2</sup> Holm H. Uhlig,<sup>2</sup> and Fiona Powrie<sup>3</sup>

J Immunol 2003

(vice versa: induction of disease by T<sub>reg</sub> depletion)

#### human individuals

In vivo switch to IL-10-secreting T regulatory cells in high dose allergen exposure

Flurina Meiler, Judith Zumkehr, Sven Klunker, Beate Rückert, Cezmi A. Akdis, and Mübeccel Akdis

("natural" desensitization to bee venom in beekeepers related to the induction of IL-10<sup>+</sup>  $T_{regs}$ )



Swiss Institute of Allergy and Asthma Research, University of Zurich, 7270 Davos, Switzerland

J Exp Med 2008

# Peripheral tolerance: Immune privileged sites



- physical barriers (e.g. BB-barrier)
- absence of lymphatic drainage (e.g. brain)
- low numbers of APC
- low MHC expression (e.g. fetal trophoblast)
- expression of FasL (e.g. testis)
- TGF $\beta$ -rich environment (e.g. eye)

sequestration of antigens (immunological ignorance)

induction of anergy or apoptosis

immune privilege must be considered as an active process rather than a merely passive state!

# Overview

### **1. "Basic" mechanisms of self-tolerance**

- central tolerance
- peripheral tolerance

# 2. Mucosal immunology

- role of <u>innate</u> immunity in tolerance to foreign
- oral tolerance
- **3. Analyzing tolerance in clinical disease settings** 
  - autoimmune disease vs. DTH reactions
  - pathogenetic role of genetic and microbial factors
  - where does tolerance fail and how can it be assessed?

## MALT: mucosa-associated lymphoid tissues

- **BALT**: bronchi-associated lymphoid tissues
- **NALT**: nasopharynx-associated lymphoid tissues
- **GALT**: gut-associated-lymphoid tissues

MALT of the urogenital tract

- barriers between internal and external environments
- confronted with a vast array of harmless and potentially harmful antigens
- contain lymphoid inductive and effector sites with specialized immune cells



> 200 m<sup>2</sup>

# **Mucosal immunology: Organization of the GALT**



# Mucosal immunology: The difficult task of the GALT

...when two supersystems are confronted....



(abundant) commensal microbes and food antigens need to be tolerated while (rare) pathogens have to be recognised and fighted off

collateral tissue damage is a "no go" in order to prevent loss of sequestration and a potentially deleterious uncontrolled influx of antigens

# intestinal epithelial cells

- intestinal intraepithelial lymphocytes
- intestinal macrophages
- intestinal dendritic cells

tolerance mechanisms at the level of innate immunity also exist !

- intestinal innate lymphoid cells
- intestinal B cells
- intestinal CD4 T cells

### The first line of defense: the mucus layer



colon: two different mucus layers the inner layer is devoid of bacteria !



Johansson et al. PNAS 2008 green: anti-MUC2 red: FISH using a bacterial probe

Muc2-/-

Muc2<sup>-/-</sup> mice:

penetrance of bacteria

spontaneous colitis !

functions of mucus layer:

- outer layer: providing optimal symbiotic habitat
- inner layer: segregation of bacteria, local concentration of antimicrobial peptides and IgA
- small intestine: delivery of tolerogenic functions to dendritic cells Cerutti et al. Science 2013



Production of anti-microbial proteins further limits bacterial-epithelial contact



- defective anti-microbial peptide expression in experimental models (IL-22<sup>-/-</sup> or MyD88<sup>-/-</sup> mice): increased susceptibility to intestinal inflammation
- ATG16L1 is a disease susceptibility locus in Crohn's disease disruption of ATG16L1 in mice results in a disturbed Paneth cell granule exocytosis

#### Highly regulated expression of TLRs during postnatal development:



first contact of neonatal IEC with colonizing bacteria is crucial to simultaneously allow for maturation of the intestinal immune system and to protect from inappropriate pro-inflammatory immune activation until vital structures have been established

relevant in pathogenesis of necrotising enterocolitis in pre-term infants?

Highly regulated and compartmentalized expression of pattern recognition receptors also in mature enterocytes:

NLR family receptors for bacterial flagellin are located in the cytosol

TLR5 is only expressed on the basolateral, not the apical side



...allows for discrimination of commensal flora vs. invading pathogens!

#### ...however, "tonic" TLR signaling is vital for intestinal homeostasis!

Cell, Vol. 118, 229-241, July 23, 2004, Copyright @2004 by Cell Press

#### Recognition of Commensal Microflora by Toll-Like Receptors Is Required for Intestinal Homeostasis

Seth Rakoff-Nahoum,<sup>1</sup> Justin Paglino,<sup>2</sup> Fatima Eslami-Varzaneh,<sup>3</sup> Stephen Edberg,<sup>2</sup> and Ruslan Medzhitov<sup>1,\*</sup>



MyD88<sup>-/-</sup> mice suffer from an exaggerated DSS-induced colitis due to a lack of microbial TLR-induced tissue protective factors (and anti-microbial peptides) in the intestinal epithelium

#### NATURE Vol 446 29 March 2007

# Epithelial NEMO links innate immunity to chronic intestinal inflammation (Nemo = IκB kinase γ)

Arianna Nenci<sup>1,2</sup>\*, Christoph Becker<sup>3</sup>\*, Andy Wullaert<sup>1</sup>, Ralph Gareus<sup>1</sup>, Geert van Loo<sup>2</sup>, Silvio Danese<sup>4</sup>, Marion Huth<sup>2</sup>, Alexei Nikolaev<sup>3</sup>, Clemens Neufert<sup>3</sup>, Blair Madison<sup>5</sup>, Deborah Gumucio<sup>5</sup>, Markus F. Neurath<sup>3</sup>\* & Manolis Pasparakis<sup>1,2</sup>

Mice with an epithelial-specific inhibition of NFkB develop spontaneous intestinal inflammation due to impaired antimicrobial peptide expression, increased epithelial cell apoptosis and increased translocation of bacteria
### Multi-layered adaptations of the GALT: Intestinal epithelial cells

by the production of a variety of cytokines and other factors, the intestinal epithelium can actively modulate immune responses and "educate" local immune cells towards tolerance



TGFβ IL-10 TSLP retinoic acid April BAFF

e.g. production of:

### Multi-layered adaptations of the GALT: Intestinal macrophages



...are continuosly replenished from blood monocytes and educated by the local tissue environment (e.g. IL-10, TGF $\beta$ ) to acquire the typical properties of resident intestinal M $\phi$ : IL-10 production, highly efficient phagocytosis and bacterial killing in the absence of pro-inflammatory cytokine secretion!

mutations in the human IL-10R gene: very-early-onset colitis



Moran CJ, Inflamm Bowel Dis 2013

IL-10-deficient (IL-10<sup>-/-)</sup> mice: spontaneous intestinal inflammation

#### Interleukin-10 Receptor Signaling in Innate Immune Cells Regulates Mucosal Immune Tolerance and Anti-Inflammatory Macrophage Function

Dror S. Shouval,<sup>1,2,27</sup> Amlan Biswas,<sup>1,2,23</sup> Jeremy A. Goettel,<sup>1,2,23</sup> Katelyn McCann,<sup>1,23</sup> Evan Conaway,<sup>3</sup> Naresh S. Redhu,<sup>1,2,29</sup> Ivan D. Mascanfroni,<sup>4</sup> Ziad Al Adham,<sup>6</sup> Sydney Lavole,<sup>1</sup> Mouna Ibourk,<sup>1</sup> Deanna D. Nguyen,<sup>6,7</sup> Janneke N. Samsom,<sup>6,23</sup> Johanna C. Escher,<sup>9,23</sup> Raz Somech,<sup>10,12,29</sup> Batia Weiss,<sup>11,12,29</sup> Rita Beier,<sup>13,23</sup> Laufe S. Conklin,<sup>4,24</sup> Christen L. Ebens,<sup>16,29</sup> Fernanda G.M.S. Santos,<sup>16,29</sup> Alexandre R. Ferreira,<sup>16,29</sup> Mary Sherlock,<sup>17,29</sup> Atul K. Bhan,<sup>15,19</sup> Wemer Müller,<sup>20</sup> J. Rodrigo Mora,<sup>6,7</sup> Francisco J. Quintana,<sup>4</sup> Christoph Klein,<sup>21,23</sup> Aleixo M. Muise,<sup>529</sup> Bruce H. Horwitz,<sup>2,423</sup> and Scott B. Snapper<sup>1,7,22,23</sup>

#### Macrophage-Restricted Interleukin-10 Receptor Deficiency, but Not IL-10 Deficiency, Causes Severe Spontaneous Colitis

Ehud Zigmond,<sup>1,2</sup> Biana Bernshtein,<sup>1</sup> Gilgi Friedlander,<sup>3</sup> Catherine R. Walker,<sup>4</sup> Simon Yona,<sup>1</sup> Ki-Wook Kim,<sup>1</sup> Ori Brenner,<sup>5</sup> Rita Krauthgamer,<sup>1</sup> Chen Varol,<sup>2</sup> Wemer Müller,<sup>4</sup> and Steffen Jung<sup>1,\*</sup>

lack of IL-10 receptor signaling <u>in intestinal</u> <u>macrophages</u> prevents their anti-inflammatory conditioning & leads to intestinal inflammation

Immunity 2014

### Multi-layered adaptations of the GALT: Intestinal dendritic cells

- DC in Lamina Propria (LP), Mesenteric Lymph nodes (MLN), Peyer's patches (PP)
- various subsets, two main subsets: CD103<sup>+</sup> vs. CD103<sup>-</sup> DC



Sun et al., JEM 2007 Coombes et al., JEM 2007

### The phenomen:

Oral administration of antigen can lead to suppressed immune responses to peripheral challenge with the same antigen

Vol. 140, 440-445, No. 2, January 15, 1988

Printed in U.S.A.

#### SUPPRESSION OF EXPERIMENTAL AUTOIMMUNE ENCEPHALOMYELITIS BY ORAL ADMINISTRATION OF MYELIN BASIC PROTEIN AND ITS FRAGMENTS<sup>1</sup>

PAUL J. HIGGINS AND HOWARD L. WEINER<sup>2</sup>

THE JOURNAL OF IMMUNOLOGY



tolerance can be transferred by T cells

TGF $\beta$  appears to be involved

Janeway / Travers

### The (likely) mechanism:

- (clonal deletion)
- induction of regulatory T cells





feeding of ovalbumin (but not BSA) to Ovalbumin-TCR tg mice (lacking endogenous Tregs) de novo induces Foxp3<sup>+</sup> CD4<sup>+</sup> Tregs cells in the MLN Coombes et al., J Exp Med 2007

# Mucosal immunology: Oral tolerance

#### Evidence exists that oral tolerance mediated by $T_{regs}$ is operative in humans

Journal of Immunology, 1994, 152:

#### Oral Tolerance in Humans

#### T Cell but Not B Cell Tolerance After Antigen Feeding<sup>1</sup>

Steffen Husby,<sup>2\*</sup> Jiri Mestecky,<sup>†</sup> Zina Moldoveanu,<sup>†</sup> Stephen Holland,<sup>\*</sup> and Charles O. Elson<sup>3\*</sup>

Table I. Delayed skin test response to KLH\*

	24	h	4	8 h
Group	Mean (range)	Number of positive/total	Mean (range)	Number of positive/total
KLH-fed Controls	1.2 (0-10)	1/8 7/8	0 (0-0)	0/8 5/8
p-value	0.007	1,0	0.038	340

\* KLH (10 µg) was injected intracutaneously and the reaction measured as induration (mm) at 24 and 48 h after the injection. J. Exp. Med. Volume 199, Number 12, June 21, 2004

Allergen-responsive CD4<sup>+</sup>CD25<sup>+</sup> Regulatory T Cells in Children who Have Outgrown Cow's Milk Allergy

Malin R. Karkson,<sup>1</sup> Jarle Rugtveit,<sup>1,2</sup> and Per Brandtzaeg<sup>1</sup>



#### GASTROENTEROLOGY 2007;132:1705-1717

# Severe Food Allergy as a Variant of IPEX Syndrome Caused by a Deletion in a Noncoding Region of the *FOXP3* Gene

TROY R. TORGERSON,\* AVRIEL LINANE,\* NICOLETTE MOES,<sup>‡,§</sup> STEPHANIE ANOVER,\* VÉRONIQUE MATEO,<sup>‡</sup> FRÉDÉRIC RIEUX-LAUCAT,<sup>‡</sup> OLIVIER HERMINE,<sup>¶</sup> SHASHI VIJAY,\* ELEONORA GAMBINERI,<sup>\*</sup> NADINE CERF-BENSUSSAN,<sup>§</sup> ALAIN FISCHER,<sup>‡,\*\*</sup> HANS D. OCHS,\* OLIVIER GOULET,<sup>‡,§</sup> and FRANK M. RUEMMELE<sup>‡,§</sup> Allergen-specific immunotherapy / desensitization





<u>repetitive</u> administration of antigens via the <u>oral, sublingual</u> (nasal) route or the skin

inhibition of basophil and mast cell activation skewing of Th2 cells to allergen-specific  $\rm T_{regs}$  shift from IgE to IgG4

### Mucosal immunology: Oral tolerance does not apply to commensal flora



the systemic immune system is ignorant, rather than tolerant of the intestinal commensal flora!



### Overview

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### Autoimmune disease versus hypersensitivity reactions

Autoimmune disease:

"A disease caused by a break-down in self-tolerance such that the adaptive immune system responds to self-antigens and mediates tissue damage" (A.K. Abbas)

Hypersensitivity:

"Excessive immune reactivity to a generelly innocuous (self- or foreign) antigen" (Tak W. Mak)

Hypersensitivity reactions may well be operative during autoimmune disease but collateral damage to self mediated through excessive immune reactivity to foreign is not an autoimmune disease !

When considering immunological tolerance in its completeness (i.e. not just as tolerance to self but also to foreign - where required), hypersensitivity reactions may also be caused or driven by failure in tolerance mechanisms !

#### Autoimmune diseases: Examples of AID caused by auto-antibodies

Disease	Target antigen	Mechanisms of disease	Clinicopathologic manifestations
Autoimmune hemolytic anemia	Erythrocyte membrane proteins (Rh blood group antigens, I antigen)	Opsonization and phagocytosis of erythrocytes	Hemolysis, anemia
Autoimmune thrombocytopenic purpura	Platelet membrane proteins (gpllb:llla integrin)	Opsonization and phagocytosis of platelets	Bleeding
Pemphigus vulgaris	Proteins in intercellular junctions of epidermal cells (epidermal cadherin)	Antibody-mediated activation of proteases, disruption of intercellular adhesions	Skin vesicles (bullae)
Vasculitis caused by ANCA	Neutrophil granule proteins, presumably released from activated neutrophils	Neutrophil degranulation and inflammation	Vasculitis
Goodpasture's syndrome	Noncollagenous protein in basement membranes of kidney glomeruli and lung alveoli	Complement- and Fc receptor- mediated inflammation	Nephritis, lung hemorrhage
Acute rheumatic fever	Streptococcal cell wall antigen; antibody cross- reacts with myocardial antigen	Inflammation, macrophage activation	Myocarditis, arthritis
Myasthenia gravis	Acetylcholine receptor	Antibody inhibits acetylcholine binding, down-modulates receptors	Muscle weakness, paralysis
Graves' disease (hyperthyroidism)	TSH receptor	Antibody-mediated stimulation of TSH receptors	Hyperthyroidism
Insulin-resistant diabetes	Insulin receptor	Antibody inhibits binding of insulin	Hyperglycemia, ketoacidosis
Pernicious anemia	Intrinsic factor of gastric parietal cells	Neutralization of intrinsic factor, decreased absorption of vitamin B <sub>12</sub>	Abnormal erythropoiesis, anemia

presence of auto-antibodies can also represent an epiphenomen and does not necessarily indicate a pathogenetic role for a particular auto-antibody

autoimmune diseases which are primarily mediated by auto-antibodies could still originate from a primary defect in T cell tolerance

Abbreviations: ANCA, antineutrophil cytoplasmic antibodies; TSH, thyroid-stimulating hormone.

### Autoimmune diseases: Examples of AID caused by self-reactive T cells

Disease	Specificity of pathogenic T cells	Human disease	Animal models	
Type I (insulin- dependent) diabetes mellitus	Islet cell antigens (insulin, glutamic acid decarboxylase, others)	Yes; specificity of T cells not established	NOD mouse, BB rat, transgenic mouse models	
Rheumatoid arthritis	Unknown antigen in joint synovium	Yes; specificity of T cells and role of antibody not established	Collagen-induced arthritis, others	
Multiple sclerosis, experimental autoimmune encephalomyelitis		Yes; T cells recognize myelin antigens	EAE induced by immunization with CNS myelin antigens; TCR transgenic models	
Inflammatory ( bowel disease (Crohn's, ulcerative colitis)	Unknown	Yes	Colitis induced by depletion of regulatory T cells, knockout of IL-10	
Peripheral neuritis	P2 protein of peripheral nerve myelin	Guillain-Barre syndrome	e Induced by immunization with peripheral nerve myelin antigens	
Autoimmune myocarditis	Myocardial proteins Yes (post-viral myocarditis); specificity of T cells not established Induced by immuniz with myosin or infect by Coxsackie virus		Induced by immunization with myosin or infection by Coxsackie virus	

identification of potential target auto-antigens in human AID mostly relies on extrapolation of findings from experimental models

no evidence for classification as AID!

How identify a self-directed T cell response as a pathogenetic mechanism of an AID?

- <u>experimental models</u>: immunizations with the candidate auto-antigen, transfer of pathogenic T cells to lymphopenic recipients
- <u>humans</u>: T cell infiltrates in target tissues, enhanced T cell responses to target antigens *ex vivo*, altered Th profiles (compared to healthy controls) only circumstantial evidence!

# Associations of AID with particular HLA-DR alleles:

Disease	HLA allele	Relative risk*
Rheumatoid arthritis	DR4	4
Insulin-dependent	DR3	5
diabetes mellitus	DR4	5-6
	DR3/DR4 heterozygote	25
Multiple sclerosis	DR2	4
Systemic lupus erythematosus	DR2/DR3	5
Pemphigus vulgaris	DR4	14
Ankylosing spondylitis	B27	90–100

\*Relative risk is defined as the probability of development of a disease in individuals with a particular HLA allele versus individuals lacking that HLA allele. The numbers given are approximations.

in experimental animal models of AID, disease susceptibility strongly depends on the genetic background

prominent role of MHCII in selection and activation of CD4 T cells

prominent role of CD4 T cells in supporting and amplifying both innate & adaptive immune responses

#### Cave:

- most AID are polygenic / associated with several polymorphisms
- susceptibility alleles are also found in healthy individuals
- associations may differ between ethnic groups
- linkage disequilibrium may obscure the true causal association

#### Autoimmune diseases: Role of genetic background: non-MHC alleles

Gene	Phenotype of mutant or knockout mouse	Mechanism of failure of tolerance	Human disease?	
AIRE	Destruction of endocrine organs by antibodies, lymphocytes	Failure of central tolerance	Autoimmune polyendocrine syndrome (APS)	failure in central tolerance
C4	SLE	Defective clearance of immune complexes; failure of B cell tolerance?	SLE	
CTLA-4	Lymphoproliferation; T cell infiltrates in multiple organs, especially heart; lethal by 3-4 weeks	Failure of anergy in CD4 <sup>+</sup> T cells	CTLA-4 polymorphisms associated with several autoimmune diseases	
Fas/FasL	Anti-DNA and other autoantibodies; immune complex nephritis; arthritis; lymphoproliferation	Defective deletion of anergic self-reactive B cells; reduced deletion of mature CD4 <sup>+</sup> T cells	Autoimmune lymphoproliferative syndrome (ALPS)	failure in peripheral
FoxP3	Multi-organ lymphocytic infiltrates, wasting	Deficiency of regulatory T cells	IPEX	tolerance mechanisms
IL-2; IL-2Ra/b	Inflammatory bowel disease; anti-erythrocyte and anti-DNA autoantibodies	Defective development, survival or function of regulatory T cells	None known	
SHP-1	Multiple autoantibodies	Failure of negative regulation of B cells	None known	
PTPN22	Increased lymphocyte proliferation, antibody production	Reduced inhibition by tyrosine phosphatase?	PTPN22 polymorphisms are associated with several autoimmune diseases	

polyendocrinopathy, enteropathy, X-linked syndrome; SHP-1, SH2-containing phosphatase-1.

### Autoimmune diseases: Pathogenic role of microbial infections

#### Potential mechanisms for pathogen-triggered induction of autoimmunity:

	AID	Cross-reacting sequences	Origin of Peptide
molecular mimicry	FAF	YGSLPOKSORTODEN	Rat MBP
,	LAL	YGCLLPRNPRTEDQN	Chlamydia pneumoniae protein
	20000000000	VVLEGTLTA	Human LFA-1
	Lyme arthritis	YVIE GTSKQ	Borrelia burgdorferi OspA protein
		VVHFFKNIV	Human MBP
	MS	VYHEVKKHV	EBV protein
rheumatic fever: antistreptococcal	1	QKMRRDLEE	Human myosin
myocardial proteins	RF	KGLRRDLDA	Streptococus cell wall M protein
	T1 diabetes	PC2 protein	GAD of islet cells
		of Coxsackie	

- activation of APC and "bystander" activation of self-specific T cells (also: upregulation of MHCII on non-APC?)
- tissue damage mediated by hypersensitivity reactions to persistent microbes may lead to the release of normally sequestered self-antigens and induction of autoimmunity

### Pro:

Experimental models:	Human disease:
<ul> <li>(only <u>some</u> examples!)</li> <li>in a spontaneous model of EAE, mice are less prone to disease when housed in a clean facility</li> <li>in the NOD mouse model of diabetes disease onset (in adult mice) is accelerated after infection with rotaviruses</li> </ul>	<ul> <li>(only <u>some</u> examples!)</li> <li>viral infections have been associated with flare-up of SLE and relapse of MS</li> <li>viral antigens from EBV, HPV, influenza, measles virus a.o. can provoke the production of antibodies and T cells that crossreact with MBP <i>in vitro</i></li> <li>infection with enteroviruses often precedes or accompanies diabetes in children</li> </ul>

Contra: "Infections are common, autoimmunity is not" R. Inman, L. Albert; University of Toronto

**Experimental models:** Human disease: a shift to a germ-free (GF) environment for most AID, no firm causal relationdoes not ameliorate disease in Aire-/- mice ship with a specific pathogen exists "hygiene hypothesis": increasing rheumatoid arthritis is equally inducible incidence of hypersensitivity diseases in germ-free rats in developed countries SPF and GF NOD mice have a higher incidence of spontaneous diabetes Crohn's disease 400 A. Conventional Animal Colony B. Pathogen-Free Animal Colony (%) Multiple sclerosis 100 \_ 100 ncidence of autoimmune disorders 300 Ē Type 1 ncidence of diabetes 75. of diabotos 75 250 50 50. noidence 25 -25 200 20 40 60 80 20 40 150 Weeks of age Weeks of age 100

1960

1950

1970

1980

1990

2000

can infections even protect from AID ?!

### The hygiene hypothesis re-visited: The diet-microbiota hypothesis!



our microbiota and our diet play a considerable role in the education and regulation of our immune system:

> experimental models indicate that exposure to a diverse microbial population during a critical time window early in life is absolutely required to set the baseline immune regulatory state for life

> > human populations that consume adequate or large amounts of dietary fibers and whose microbiota is enriched in Bacteriodetes show a lower incidence of inflammatory or atopic disease

the anti-inflammatory effects of short-chain fatty acids (SCFA) and polysaccharide A (PSA) on the intestinal immune system (e.g. by expansion of Tregs or modulation of colonic epithelial cells) are now acknowledged

#### Kendle M Maslowski & Charles R Mackay

NATURE IMMUNOLOGY VOLUME 12 NUMBER 1 JANUARY 2011

### Autoimmune disease: where does tolerance fail ?

	Gene	Phenotype of mutant or knockout mouse	Mechanism of failure of tolerance	Human disease?	
rance	MHCI	l	failure in binding of rele peptides for negative se	vant election	most evidence today points to a role for
tole	AIRE	Destruction of endocrine organs by antibodies, lymphocytes	Failure of central tolerance	Autoimmune polyendocrine syndrome (APS)	defects in peripheral, rather than central
tra	FoxP3	Multi-organ lymphocytic infiltrates, wasting	Deficiency of regulatory T cells	IPEX	tolerance mechanisms
cen	IL-2; IL-2Ra/b	Inflammatory bowel disease; anti-erythrocyte and anti-DNA autoantibodies	Defective development, survival or function of regulatory T cells	None known	
	C4	SLE	Defective clearance of immune complexes; failure of B cell tolerance?	SLE	
rance	CTLA-4	Lymphoproliferation; T cell infiltrates in multiple organs, especially heart; lethal by 3-4 weeks	Failure of anergy in CD4 <sup>+</sup> T cells	CTLA-4 polymorphisms associated with several autoimmune diseases	evidence from experimental models suggests a pivotal
al tole	Fas/FasL	Anti-DNA and other autoantibodies; immune complex nephritis; arthritis; lymphoproliferation	Defective deletion of anergic self-reactive B cells; reduced deletion of mature CD4 <sup>+</sup> T cells	Autoimmune lymphoproliferative syndrome (ALPS)	role for T <sub>regs</sub> in preventing onset of autoimmunity
lera	FoxP3	Multi-organ lymphocytic infiltrates, wasting	Deficiency of regulatory T cells	IPEX	in humans, most patients
eriph	IL-2; IL-2Ra/b	Inflammatory bowel disease; anti-erythrocyte and anti-DNA autoantibodies	Defective development, survival or function of regulatory T cells	None known	with AID exhibit normal
ă	SHP-1	Multiple autoantibodies	Failure of negative regulation of B cells	None known	numbers of functional Tregs
	PTPN22	Increased lymphocyte proliferation, antibody production	Reduced inhibition by tyrosine phosphatase?	PTPN22 polymorphisms are associated with several autoimmune diseases	

# AID likely have a multifactorial aetiology with a complex interplay of genetic, microbial and even nutritional factors

#### Hypersensitivity:

"Excessive immune reactivity to a generelly innocuous (self- or foreign) antigen" (Tak W. Mak)

Type of hypersensitivity	Pathologic immune mechanisms	Mechanisms of tissue injury and disease
Immediate hypersensitivity: Type I	IgE antibody	Mast cells and their mediators (vasoactive amines, lipid mediators, cytokines)
Antibody mediated: Type II	IgM, IgG antibodies against cell surface or extracellular matrix antigens	Opsonization and phagocytosis of cells Complement- and Fc receptor- mediated recruitment and activation of leukocytes (neutrophils, macrophages) Abnormalities in cellular functions, e.g., hormone receptor signaling
Immune complex mediated: Type III	Immune complexes of circulating antigens and IgM or IgG antibodies	Complement- and Fc receptor- mediated recruitment and activation of leukocytes
T cell mediated: Type IV	<ol> <li>CD4<sup>+</sup> T cells (delayed- type hypersensitivity)</li> <li>CD8<sup>+</sup> CTLs (T cell- mediated cytolysis)</li> </ol>	<ol> <li>Macrophage activation, cytokine-mediated inflammation</li> <li>Direct target cell killing, cytokine- mediated inflammation</li> </ol>

#### Examles for type IV

#### T cells directed against

- chronic DTH
- chronic graft rejection
- autoimmunity

conventional foreign antigen allo-antigen in donor tissue self-antigen in target tissue

#### tissue damage observed

granuloma formation destruction of donor cells, fibrosis destruction of self-cells, fibrosis

#### Switzerland: ca. 1/500 inhabitants

clinical symptoms: abdominal pain, diarrhea, fever, fatigue (chronic relapsing) onset between 15-40 years; from first symptoms to diagnosis: > 2 years



 <u>discontinuous</u>, transmural, granulomatous inflammation • crypt abscesses

treatment:

anti-inflammatory / immunosuppressive drugs (5-ASA, methotrexate, steroids, anti-TNF)

Inflammatory bowel diseases (IBD): where do they belong...?

...the evolving paradigm...

IBD is a chronic immune-mediated but non-infectious disease

IBD is an autoimmune disease

IBD is a T cell-mediated hypersensitivity reaction against the commensal flora

Is IBD an innate immunodeficiency disease ?

#### aberrant phenotypes and functional responses of intestinal macrophages in IBD



aberrant differentiation of intestinal CD4 T cells into Th1 and Th17 subsets in Crohn's disease (CD) patients



Kamada N et al., J Immunol 2009

### Inflammatory bowel diseases: Role for microbial factors ?

- in human IBD patients, disease is ameliorated by antibiotic treatment or diversion of the fecal stream away from affected intestinal segments
- in experimental disease models, disease cannot be induced in germ-free mice

### Dysregulation of the normally tightly controlled immune response to commensal bacteria in susceptible individuals

Failure in intestinal tolerance mechanisms to "foreign"?

### Inflammatory bowel diseases: Role for a defect in T<sub>reqs</sub> ?

- mutations in the human IL-10 receptor gene are associated with very severe early onset IBD in paediatric patients
- experimental models point to an important role for  $T_{reg}$  cells or TGF $\beta$ , IL-10 and CTLA-4 in preventing intestinal inflammation



### Inflammatory bowel diseases: Role for a defect in Tregs ?



however:

in human IBD, CD4<sup>+</sup> CD25<sup>+</sup> Foxp3<sup>+</sup> T cells are actually <u>increased</u>

no evidence for reduced production of IL-10 and TGF $\beta$  in inflamed tissues



where does tolerance fail then ?

Saurer L, Mueller C, Allergy, 2009

Experimental models of (spontaneous) IBD:

Defective mucus layer	Muc2 <sup>-/-</sup> mice
Impaired epithelial cell function	IEC-specific deletion of IKK $\gamma$
Aberant APC activation	STAT3 <sup>-/-</sup> macrophages
Defect in regulatory T cells	IL-10 <sup>-/-</sup> , TGFβ <sup>-/-</sup> , IL-2 <sup>-/-</sup> mice





Different genetic defects, including <u>innate</u> immune defects, can lead to comparable clinical and histopathological signs of experimental colitis

#### susceptibility allelles Crohn's disease

gene	normal function
NOD2/ CARD15	intracellular PRR, recognition of bacterial peptidoglycan
IL-23R	subunit of IL-23 receptor, Th17 differentiation
IL-12B	p40 subunit of IL-23 and IL-12, Th1 differentiation
STAT3	various, involvement in Th17 differentiation
ATG16L1	autophagy

human susceptibility loci support the notion that IBD may initially originate from an aberrant <u>innate</u> immune response

A closer look at the functions of some of the disease-associated alleles reveals a potential innate immune defect rather than hyperresponsive state

### NOD2



#### **Circumstantial evidence:**

IBD in ≈ 30% of patients with chronic granulomatous disease (NADPH defect!)



#### Inflammatory Bowel Disease in CGD Reproduces the Clinicopathological Features of Crohn's Disease

Daniel J.B. Marko, Ph.D<sup>12</sup>, Kana Miyagi, M.B. ES, ESc<sup>14</sup>, Farooq Z. Rahman, MRCD<sup>4</sup>, Marco Novelk, Ph.D<sup>2</sup>, Staart L. Bloom, DM, FRCP<sup>4</sup> and Anthony W. Segal, Ph.D, FRS<sup>4</sup>

The American Journal of GASTROENTEROLOGY © 2009 by the American College of Gastroenterology

#### Impaired neutrophil accumulation in CD patients

a 0.20 HC (n=6) CD (n=5) 0.15 0.10 0.05 0.

i.v. injection of <sup>111</sup>Indium-labeled neutrophils simultaneous s.c. injection of killed E. Coli

Disordered macrophage cytokine secretion underlies impaired acute inflammation and bacterial clearance in Crohn's disease

**JEM** 

Andrew M. Smith,<sup>1</sup> Farooq Z. Rahman,<sup>1</sup> Bu'Hussain Hayee,<sup>1</sup> Simon J. Graham,<sup>4</sup> Daniel J.B. Marks,<sup>1</sup> Gavin W. Sewell,<sup>1</sup> Christine D. Palmer,<sup>1</sup> Jonathan Wilde,<sup>4</sup> Brian M.J. Foxwell,<sup>5</sup> Israel S. Gloger,<sup>4</sup> Trevor Sweeting,<sup>2</sup> Mark Marsh<sup>3</sup>, Ann P. Walker,<sup>1</sup> Stuart L. Bloom,<sup>6</sup> and Anthony W. Segal<sup>1</sup>

JEM VOL 206, August 31, 2009

### Crohn's disease: an innate immune deficiency disease ?

#### More circumstantial evidence:

31 JULY 2009 VOL 325 SCIENCE

## Innate and Adaptive Immunity Cooperate Flexibly to Maintain Host-Microbiota Mutualism

Emma Slack, <sup>2,5</sup> Siegfried Hapfelmeier, <sup>2,5</sup> Bärbel Stecher,<sup>2</sup> Yuliya Velykoredko,<sup>3</sup> Maaike Stoel,<sup>1</sup> Melissa A. E. Lawson,<sup>1</sup> Markus B. Geuking,<sup>1</sup> Bruce Beutler,<sup>3</sup> Thomas F. Tedder,<sup>4</sup> Wolf-Dietrich Hardt,<sup>2</sup> Premysl Bercik,<sup>3</sup> Elena F. Verdu,<sup>1</sup> Kathy D. McCoy,<sup>1</sup> Andrew J. Macpherson<sup>2,5</sup>

Mice deficient in Myd88 exhibit translocation of commensal bacteria to the spleen and loss of systemic ignorance to the commensal flora (priming of systemic adaptive immune responses)



#### Balanced innate immune activation maintains intestinal homeostasis



Harrison OJ, Maloy KJ J Innate Immunity 2011

# Sum-up: Immunological tolerance

- immunological tolerance (in its wider context including tolerance to foreign) is involved in many areas of clinical immunology, including immunity to infectious agents, allergology, tumor immunology, vaccinology, autoimmunity, hypersensitivity diseases, transplantation immunology,...
- without the use of experimental models, our understanding of tolerance and breakdown of tolerance would still be in its infancy
- emerging data from clinical investigations is in line with many findings from experimental models (or vice versa) but discrepancies exist!
- future research directed at a better understanding of tolerance and breakdown of tolerance must continue to take into consideration aspects from both experimental and clinical immunology
- …replacing paradigms requires open minds ☺



"I expect you all to be independent, innovative, critical thinkers who will do exactly as I say!"



### Mirjam Schenk Group

- > Thomas Gruber, PhD student
- > Hassan Sadozai, PhD student
- > Mirela Kremenovic, PhD student
- Lukas Bäriswyl, research technician (50%)
- > Fabienne Maibach, MD-PhD student

#### **Research Goals:**

- Generation of cross-presenting dendritic cells (DC) for immunotherapy in melanoma
- Targeting tumor infiltrating dendritic cells (TIDC) to enhance cytotoxic T cell responses
- > Deciphering the role of DC subsets in regulating the immune response to cancer therapies



### Mirjam Schenk Group: Tumor Immunology


## Thank You!

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